Advancing the Research Agenda of Interlanguage Pragmatics: The Role of Learner Corpora

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1 Pragmatics in Second Language Acquisition Research: A Critical Assessment

1.1 Interlanguage Pragmatics and Its Scope of Inquiry

Broadly defined, pragmatics as a discipline can be conceived of as "the study of 8 language from the point of view of the users, especially of the choices they make, 9 the constraints they encounter in using language in social interaction, and the effects 10 their use of language has on the other participants in an act of communication" 11 (Crystal 2003: 364). Leech (1983: 10f.) distinguishes between two components of 12 general pragmatics. First, he defines socio-pragmatics as "the sociological interface of pragmatics" that focuses on the conditions of language use which derive from the 14 social situation, i.e. the social setting of language use, including variables such as 15 cultural context, social status or social distance of speakers. Second, pragmalinguis-16 tics is "the more linguistic end of pragmatics", considering the particular linguistic 17 resources which a given language provides for conveying particular illocutions, i.e. 18 the range of structural resources from which speakers can choose when using 19 language in a specific communicative situation, e.g. speech act verbs, imperatives, 20 politeness markers, pragmatic markers etc. 21

The study of pragmatics as a field of inquiry within Second Language Acquisition 22 (SLA) research is usually referred to as Interlanguage Pragmatics (ILP). ILP is 23 commonly defined as "the study of nonnative speakers' comprehension, production, 24 and acquisition of linguistic action in L2" (Kasper 2010: 141). While this suggests 25 a relatively broad range of research topics as in pragmatics in general, ILP to date 26

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has operated on a fairly narrow understanding of what constitutes linguistic action 27 in L2. One of the main reasons for this is that traditionally, ILP has been heavily 28 influenced by and largely modeled on cross-cultural pragmatics, adopting its 29 research topics, theories and methodologies (Kasper 2010: 141). Thus, it has pre-30 dominantly been concerned with politeness phenomena by investigating foreign/ 31 second language (L2) learners' comprehension and production of a variety of 32 speech act types such as requests, apologies, refusals, complaints, compliments and 33 compliment responses, and the use of internal and external modification to these 34 speech acts. The findings of these investigations have subsequently been compared 35 with native speaker performance. 36

In their review of research methods in ILP, Kasper and Dahl (1991) define the 37 field "in a narrow sense, referring to nonnative speakers' (NNSs') comprehension 38 and production of speech acts, and how their L2-related speech act knowledge is 39 acquired" (1991: 216). Studies addressing topics like conversational management, 40 discourse organization, or sociolinguistic aspects of language, e.g. address forms, 41 were explicitly left outside of the scope of this article. This narrow view has been 42 taken over in many overview articles and book chapters on ILP that have been pub-43 lished since. For example, Ellis (2008: 160), explicitly referring to Kasper and Dahl 44 (1991), also adopts the narrow sense of ILP arguing that this aspect of pragmatics 45 has received the greatest attention in SLA research. Ellis even maintains that the 46 scope of pragmatics in ILP is "relatively well-defined. Researchers have investi-47 gated what speakers accomplish when they perform utterances in terms of: (1) inter-48 actional acts and (2) speech acts" (2008: 159). In sum, this perspective has led to a 49 narrow research focus and sociopragmatic bias in ILP where the dominant area of 50 investigation has been the speech act. 51

Almost 20 years after Kasper and Dahl's review paper, Bardovi-Harlig (2010) 52 provided a state-of-the-art meta-analysis of published research in ILP. Noting that 53 "the study of interlanguage pragmatics has not typically been as broad as the areas 54 outlined by the definition of pragmatics used in the handbook",¹ she states that 55 "within second language studies, work in pragmatics has often been narrower than 56 in the field of pragmatics at large" and that "there seems to be less agreement in the 57 field about the scope of *pragmatics*" (2010: 219f.; emphasis in original). Her meta-58 analysis of a sample of 152 research articles published between 1979 and 2008 59 reveals that in 99 out of the 152 studies reviewed (65.1 %), pragmatic competence 60 was operationalized in terms of speech acts. This leads her to conclude that "the 61 dominant area of investigation within interlanguage pragmatics has been the 62 speech act" (2010: 219). Only few studies have investigated other pragmatic phe-63 nomena, e.g. turn structure (sequencing of turns, repair, alignment, greeting and 64 leave taking), pragmalinguistic devices, i.e. grammatical and lexical devices 65

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¹Bardovi-Harlig refers to the *Handbooks of Pragmatics* series published with DeGruyter Mouton. In the general preface to the series, the editors state that all the handbooks in the series share the same wide understanding of pragmatics as the scientific study of all aspects of linguistic behaviour.

including routines (e.g. modal particles, adverbials, formulas), and pragmatic 66 interpretation (meta-pragmatic knowledge and assessment, e.g. in the form of 67 ranking or rating). 68

In 2005, Müller provided one of the first comprehensive studies of discourse 69 markers in learner English. While the use of discourse markers in native English has 70 been studied extensively in pragmatics in the last decades, Müller concluded in her 71 overview chapter on pragmatics in SLA that "there is little in the area of second 72 language acquisition and applied linguistics which deals explicitly with discourse 73 markers. The focus in this area is either on grammatical features or, as far as pragmatic competence goes, on speech acts" (2005: 23). 75

Callies (2009a) draws attention to the pragmalinguistic component of pragmatics 76 and its interplay with grammar. He examined advanced L2 learners' comprehension 77 and use of focus constructions, i.e. pragmatically-motivated variations of the basic 78 word order. Outlining that knowledge of the principles of information organization 79 in discourse, and the use of linguistic devices for information highlighting clearly 80 relates to L2 pragmatic knowledge, Callies suggests that further research into L2 81 learners' abilities at the syntax-pragmatics interface may also be a rewarding enter-82 prise with respect to the interplay of grammatical and pragmalinguistic knowledge, 83 an important yet unresolved issue in ILP. 84

Dippold (2009) notes that ILP not only prioritizes research on the expression of 85 L2 politeness and the acquisition of politeness strategies, but that it also does so in 86 a decontextualized manner that takes little account of the situatedness of linguistic 87 discourse. She argues that ILP should move away from its focus on politeness in a 88 limited set of speech acts and focus also on self-presentation. 89

In sum, this clearly suggests that the significance of L2 pragmatic knowledge 90 beyond the domain of speech acts has been neglected in ILP research to date. 91 However, the scope of pragmatics in the context of SLA does not necessarily have 92 to be a narrow one. In many broad definitions such as the one given by Kasper 93 (2010: 141) ("the study of nonnative speakers' comprehension, production, and 94 acquisition of linguistic action in L2") the scope of research in ILP is not restricted 95 to issues of politeness and the domain of speech acts. Kasper and Rose (2002) have 96 proposed the concept of "pragmatics-as-perspective" which "has the advantage of 97 being inclusive and open to study new research objects as pragmatics, without pre-98 cluding them from being examined from a different angle as well" (2002: 5; empha-99 sis in original). In fact, recent developments suggest that there is a growing awareness 100 in the field that L2 pragmatics is more than speech acts and that the scope of inquiry 101 needs to be adjusted accordingly. For example, LoCastro (2011: 333) observes 102 "a movement away from an almost exclusive focus on speech acts, particularly 103 apologies, requests, refusals, and compliments, and formulaic language to a much 104 broader view of language in use", pointing to studies that have examined topic 105 marking, negation strategies, referent introduction and maintenance, self-qualification, 106 discourse markers, modal particles, definiteness, and text organization. LoCastro 107 also notes that "many of these studies delve into complexities in signaling pragmatic 108 meaning beyond the more commonplace comparisons of a speech act in learners' L2 109 production and the native speaker enactment of the same speech act" (2011: 333). 110

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111 1.2 Modeling L2 Pragmatic Knowledge

In this section, I argue that pragmatic knowledge in an L2 clearly includes more 112 than the sociopragmatic and pragmalinguistic abilities for understanding and per-113 forming speech acts and propose a more encompassing definition of L2 pragmatic 114 knowledge. Standard descriptions of ILP frequently use notions like "linguistic 115 action in L2" (Kasper 2010: 141) and "L2 pragmatic knowledge" (Kasper and Rose 116 1999: 81; Gass and Selinker 2008: 287) respectively to refer to the general domain 117 of inquiry. But what exactly constitutes L2 pragmatic knowledge? Definitions of 118 pragmatic knowledge or competence² range from rather broad and general ones, e.g. 119 "the ability to use language appropriately in a social context" (Taguchi 2009: 1) to 120 more detailed ones, e.g. "the knowledge of the linguistic resources available in a 121 given language for realizing particular illocutions, knowledge of the sequential 122 aspects of speech acts and finally, knowledge of the appropriate contextual use of 123 the particular languages' linguistic resources" (Barron 2003: 10). While Barron's 124 proposal draws a useful distinction between pragmalinguistic and sociopragmatic 125 knowledge, it reflects the bias in mainstream ILP in that it centers around the con-126 cept of illocutionary acts, thus narrowing down the scope of pragmatic knowledge 127 to sociopragmatics. 128

There are a number of models of language proficiency that aim to capture the 129 ability of L2 learners to use language in social interaction, all of which acknowl-130 edge to some degree the importance to acquire pragmatic competence in L2 131 learning. The two most influential constructs, communicative competence and 132 communicative language ability, will be discussed briefly in turn. In general 133 terms, communicative competence can be defined as "the fundamental concept 134 of a pragmalinguistic model of linguistic communication: it refers to the reper-135 toire of know-how that individuals must develop if they are to be able to com-136 municate with one another appropriately in the changing situations and 137 conditions" (Bußmann 1996: 84). In reaction to Chomsky's dichotomy of com-138 petence and performance, in which the notion of linguistic competence only 139 includes knowledge of abstract grammatical rules and sets aside contextual fac-140 tors of language use, Hymes (1972) introduced the concept of communicative 141 competence, containing both grammatical competence and knowledge of the 142 sociocultural rules of language use. Canale (1983), building on Canale and Swain 143 (1980), suggested a model of communicative competence that includes four 144 major components: 145

- GRAMMATICAL COMPETENCE (knowledge of the language code: vocabulary, phonology, spelling, morphology, and syntax needed to produce and understand well-formed sentences);
- SOCIOLINGUISTIC COMPETENCE (knowledge of appropriate use and understanding of language in different sociolinguistic contexts, with emphasis on appropriateness of both meanings and forms);

²The two terms are frequently used interchangeably in the literature.

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- DISCOURSE COMPETENCE (knowledge of how to combine and interpret grammatical forms and meanings to achieve unified texts in different modes by using cohesion devices and coherence rules);
- STRATEGIC COMPETENCE (knowledge of the verbal and non-verbal strategies used to compensate for breakdowns in communication and to enhance the rhetorical effect of utterances). 157

Although these four components are described separately in Canale's model, it 158 should be made clear that they interact with each other and also partly overlap. 159 Pragmatic competence is not recognized separately here, but implicitly included in 160 the sociolinguistic component in a predominantly sociopragmatic, that is speech-act 161 based sense. In addition, Canale sees discourse competence as bridging the gap 162 between grammatical and sociolinguistic competence and includes it as a separate 163 component, predominantly understood in a textlinguistic sense (hence the focus on 164 coherence and cohesion). 165

Building on the work of Hymes and Canale, Bachman (1990) introduces the 166 model of communicative language ability which is composed of three 167 components: 168

- LANGUAGE COMPETENCE, "a set of specific knowledge components that are utilized in communication via language";
- STRATEGIC COMPETENCE, "the mental capacity for implementing the components of language competence in contextualized communicative language use", and
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- PSYCHOPHYSIOLOGICAL MECHANISMS, "the neurological and physiological processes involved in the actual execution of language as a physical phenomenon" (1990: 84).
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Particularly interesting is the component of language competence which is further 177 subdivided into 178

- ORGANISATIONAL COMPETENCE, which contains the modules of 179 GRAMMATICAL COMPETENCE (the knowledge of vocabulary, morphology, 180 syntax, and phonology), and TEXTUAL COMPETENCE, which "includes the 181 knowledge of the conventions for joining utterances together to form a text, 182 which is essentially a unit of language – spoken or written – consisting of two or 183 more utterances or sentences that are structured according to rules of cohesion 184 and rhetorical organisation" (1990: 88), and 185
- PRAGMATIC COMPETENCE, which intends to capture the speaker's or writer's ability to achieve his or her communicative intentions through the use of language, subsuming ILLOCUTIONARY COMPETENCE (knowledge of last expressing and interpreting language functions and speech acts) and SOCIOLINGUISTIC COMPETENCE, or "sensitivity to, or control of the conventions of language use that are determined by the features of the specific language use context" (1990: 94).

Bachman's construct thus explicitly includes pragmatic competence, which is, however, described primarily in a sociopragmatic sense.

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A more detailed model of discourse competence building on Canale's construct
 of communicative competence has been proposed by Archibald (1994: 59f.). It includes
 four components:

- COHESION: knowledge of how the lexico-grammatical structures of language may be used to produce connectedness in text;
- COHERENCE: knowledge of the principles of relevance and cooperation and the illocutionary functions of language;
- SITUATIONALITY: knowledge of how a text is related to discourse context, and the role of background knowledge;
- INFORMATION STRUCTURE: knowledge of thematic structure, the ordering of given and new information.

In sum, an integration of Canale's and Archibald's modules of discourse compe-206 tence, largely covering the pragma- and textlinguistic component of pragmatics, and 207 Bachman's definition of pragmatic competence, reflecting the sociopragmatic com-208 ponent, seems to account best for the complex nature of L2 pragmatic competence. 209 I thus propose the following definition of pragmatic knowledge: L2 pragmatic 210 knowledge is the knowledge of the (pragma-) linguistic resources available in a 211 particular language for realizing communicative intentions, and the knowledge of 212 the appropriate socio-contextual use of these resources. Pragmalinguistic knowl-213 edge is a component of L2 pragmatic knowledge which relates to learners' knowl-214 edge of the structural linguistic resources available in a given language for realizing 215 particular communicative effects, and knowledge of the appropriate contextual use 216 of these resources. 217

218 2 Going Beyond Speech Acts: The Role of Learner Corpora

Research in ILP has largely relied on elicited assessment and production data, most 219 typically in the form of pseudo-oral discourse completion or production tasks. 220 According to Bardovi-Harlig's meta-analysis, only 27 % of the studies she surveyed 221 collected and analyzed authentic language samples (2010: 241). Despite the firm 222 belief that the most authentic data in pragmatic research is provided by spontaneous 223 speech gathered through observation, the discourse completion task (DCT) has 224 become almost the standard technique due to the manifold administrative advan-225 tages of using written questionnaires.³ The DCT is a data collection technique 226 widely used to elicit production data about sociopragmatic behaviour in a specific 227 communicative context. DCTs are usually administered in the form of written ques-228 tionnaires that contain several contextualized descriptions designed to create com-229 municative situations. Informants are then asked to provide direct speech in a 230 written response to a stimulus, e.g. a first turn provided to them. DCTs come in 231

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³LoCastro (2011: 331) sees this as another reason for the dominance of speech act research in ILP.

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various formats. The classic format, in which informants have to fill in only one turn 232 at talk, consists of an open turn for the required response (sometimes prefaced by an 233 initiation of a fictitious interlocutor), and a rejoinder to the turn to be provided by 234 the informant. The free DCT, also called dialogue construction task, has an open 235 response format. It can be introduced by a first pair part, but includes no rejoinder 236 to the required response. The response can be verbal, non-verbal, or the informant 237 is given the possibility to opt out, i.e. to provide no response at all. Another type is 238 the discourse production task in which participants are only provided with a contex-239 tualized situational description and have to construct a short dialogue sequence 240 involving two or more participants. 241

The benefits and disadvantages of using elicitation data are widely recognized 242 and discussed in the field, and there is by now a considerable amount of literature 243 on various issues of research methodology in ILP.⁴ Obviously, DCTs make it pos-244 sible to collect large amounts of data in relatively short time and with comparatively 245 little effort. Moreover, the context and situational descriptions can be manipulated 246 to constrain the response so that the required, often highly specific linguistic struc-247 tures can successfully be elicited. Also, social variables can be controlled much 248 more systematically than in naturally-occurring situations. But there are also several 249 disadvantages. The DCT is a pseudo-oral format, because despite its oral setting, it 250 is more likely to elicit written than spoken language. Apparently, informants do not 251 write as spontaneously as they would speak, and do not necessarily write down what 252 they would say, but rather what they imagine is expected or should be said. Thus, 253 data elicited in such a way are more likely to reflect interactive norms and underly-254 ing social and cultural values acquired in communication or learnt in the process of 255 socialization. While the recording of naturally occurring talk enables the researcher 256 to study the organization and realization of talk-in-interaction in natural settings, 257 elicited data from DCTs indirectly reflect prior experience with language. Several 258 studies have compared various formats of DCTs with other common data collection 259 methods to investigate the effects of the instrument on the results (e.g. Sasaki 1998; 260 Yuan 2001; Golato 2003). While oral formats, e.g. role plays, due to their interactive 261 nature, induce longer responses and a larger number and greater variety of strategies/ 262 formulas than questionnaires, written formats produce more direct responses. 263

The compilation and accessibility of computer corpora and software tools for 264 corpus analysis has revolutionized (applied) linguistics in the last two decades. 265 Corpus linguistics and pragmatics can be considered related, but historically dis-266 tinct disciplines in that the latter is a subfield of linguistics while the former is 267 often considered a methodological approach to carrying out linguistic research 268 (Andersen 2011: 588). Nevertheless, corpus linguistics and pragmatics can be 269 said to form a "mutualistic entente" (Romero-Trillo 2008) in that they are joint 270 forces in the common cause to work with real usage data, thus more convincingly 271 addressing some specifics of language usage by combining the methodologies 272

⁴See e.g. the overviews by Kasper (2008) and Ellis (2008: 163–169). Callies (2012b) summarizes the advantages and disadvantages of the DCT.

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that underlie both disciplines.⁵ In fact, the marriage of corpus linguistics and
pragmatics has more recently given rise to a new hybrid subfield referred to as
"corpus pragmatics".⁶

In ILP, learner corpora – due to their very nature of being large systematic collec-276 tions of authentic, continuous and contextualized language use (spoken or written) 277 by L2 learners stored in electronic format – can help overcome several problems 278 and limitations posed by the dominance of data elicitation techniques in ILP to date. 279 Not only do learner corpora enable researchers to study a much broader range of 280 different phenomena, but they can also provide results that may be viewed as more 281 reliable, valid, and generalizable across populations without the lack of authenticity 282 and replicability that often arises from the use of other types of data. Learner cor-283 pora also make it possible to abstract away from individual learners and identify a 284 corpus-based, supra-individual description of a specific learner group while at the 285 same time providing insights into intra-group variability. Such variability and indi-286 vidual differences have important implications for learner corpus analysis and com-287 pilation that will be addressed in detail in the case studies in Sect. 3. Additionally, 288 learner corpora can be the basis for quantitatively oriented studies that are subjected 289 to statistical analyses and create an opportunity for between-methods triangulation 290 and alternative views to qualitative, ethnographic studies that have been common in 291 pragmatics in general. 292

In particular, the availability of spoken learner corpora such as the Louvain 293 International Database of Spoken English Interlanguage (LINDSEI, Gilquin et al. 294 2010) has enabled researchers to study a wider range of pragmatic features of 295 learner language in the spoken mode.7 The LINDSEI was compiled by an interna-296 tional research team and consists of spoken data, i.e. transcripts of interviews 297 between learners of English as a foreign language (EFL) and English native-298 speaker or non-native-speaker interviewers. The learners are university undergrad-299 uates in their twenties whose proficiency level ranges from higher intermediate to 300 advanced (being assessed on external criteria, most importantly their institutional 301 status, e.g. the time they spent learning English at school and university and the 302 fact that they are university undergraduates in English). The LINDSEI includes 303 subcorpora of learners from 11 mother tongue backgrounds (e.g. German, French, 304 Italian, Japanese, Polish, and Spanish) with 50 interview transcripts per subcorpus, 305 i.e. a total of about 100,000 words per component. Each interview lasts approxi-306 mately 15 min and involves three tasks: (1) a warm-up sequence in which inter-307 viewer and interviewee talk about a set topic, (2) a free discussion, and (3) a picture 308 description. 309

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⁵See Andersen (2011) and Rühlemann (2011) for recent overviews of the interrelation of the two fields.

⁶See e.g. the titles of the recent/upcoming publications by Felder et al. (2011) and Aijmer and Rühlemann (forthcoming).

⁷See e.g. the papers in Romero-Trillo (2008) and the studies on the list of publications based on the LINDSEI provided by the Centre for English Corpus Linguistics in Louvain-al-Neuve, Belgium, at http://www.uclouvain.be/en-cecl-lindsei-biblio.html.

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Using data from corpora of spoken interlanguage, it is now possible to systematically 310 examine lexico-grammatical patterns and syntactic structures that are part of the 311 grammar of conversation on a broad empirical basis (see e.g. Mukherjee 2009 for a 312 study along these lines). Recent studies have investigated individual pragmalinguistic 313 units, e.g. discourse markers (e.g. Müller 2004, 2005; Aijmer 2004, 2009, 2011), 314 modal particles (e.g. Belz and Vyatkina 2005) and tag questions (Ramirez and 315 Romero-Trillo 2005), as well as other features of turn- and discourse structure, e.g. 316 performance phenomena like hesitations, repetitions and disfluencies (Götz 2007; 317 Gilquin 2008) or filled and unfilled pauses (see e.g. Brand and Götz 2011 and Götz 318 2013 for studies that examine and operationalize these features as measures of fluency). 319 The present chapter makes a contribution to research on the grammar of conversa-320 tion in learner English and focuses on the pragmalinguistic component of L2 prag-321 matic knowledge, in particular as it relates to information highlighting in 322 discourse. 323

3 Case Studies

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An area where pragmalinguistic devices abound and are of crucial importance is 325 discourse pragmatics, the "general domain of inquiry into the relationship between 326 grammar and discourse" (Lambrecht 1994: 2). More specifically, I will be con-327 cerned with lexico-grammatical and syntactic means of information highlighting 328 located at the interface of lexico-grammar, syntax and pragmatics. This interface is 329 often referred to as information structure or information packaging, viz. the struc-330 turing of sentences by syntactic, prosodic, or morphological means that arises from 331 the need to meet certain communicative demands, e.g. emphasizing a certain point, 332 correcting a misunderstanding, or repairing a communicative breakdown.⁸ 333 Information highlighting is clearly pragmatically motivated because, more gener-334 ally speaking, it serves to express certain pragmatic functions in discourse, e.g. 335 intensification or contrast. Compared to their frequency of occurrence and difficulty 336 of acquisition there are still remarkably few (corpus-based) studies that have exam-337 ined the linguistic means of information highlighting in learner language from a 338 pragmalinguistic perspective (see e.g. Boström Aronsson 2003; Herriman and 339 Boström Aronsson 2009; Callies 2008a, b, 2009a, b). L2 learners' knowledge (that 340 includes awareness, comprehension, and production) of discourse organization and 341 the (contextual) use of linguistic means of information highlighting is thus still an 342 underexplored area in SLA research, as is the interplay of pragmalinguistic knowl-343 edge and discourse organization in general. Interface relations, opaque form-344 meaning mappings, optionality and discourse-motivated preferences are assumed to 345 be the main areas of difficulty in advanced SLA (DeKeyser 2005). Recent findings 346

⁸Deppermann (2011) provides a recent overview of the role and relevance of pragmatics for grammar, in particular as to the structuring and packaging of information and the framing of discursive action by means of grammatical constructions such as clefts.

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t1.1 Table 1 Learner corpora used in the case stu	dies
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t1.2				No. of	No. of turns
t1.3	Name	Writers' L1	Professional status	interviews	(only interviewees)
t1.4	LINDSEI-F	French	University students	50	5,504
t1.5	LINDSEI-G	German	University students	50	6,051
t1.6	LOCNEC	British English	University students	50	8,436

t1.7 In view of the manifold problems to operationalize the concept of sentence in transcribed spoken

t1.8 language and thus, to count the amount of sentences in the corpora, I chose to apply the number

t1.9 of speech turns as a basis of comparison

suggest that information structure management is problematic even for advanced L2
learners and that such learners have only a limited awareness of the appropriate use
of lexical and syntactic focusing devices in formal and informal registers (Callies
2009a).

The following sections report on two learner-corpus studies that investigates L2 learners' use of specific lexico-grammatical means of information highlighting in English: emphatic *do* and a special type of cleft construction introduced by the deictic demonstratives *that* or *this* (demonstrative clefts). Three research questions will be examined:

- Are there differences in the frequencies of use of emphatic *do* and demonstrative
 clefts in the speech of native speakers of English and learners of English as a
 foreign language?
- Are there differences in how native speakers and learners use these devices con textually, i.e. as to their discourse functions and characteristic lexical co occurrence patterns?
- 362 3. Are there differences between learners from different L1 backgrounds, and if so,363 how can these be explained?

364 3.1 Data and Methodology

Both case studies are contrastive interlanguage analyses (CIA) based on corpora of 365 spoken interlanguage. In a CIA, two types of comparisons are combined. First, the 366 interlanguage of a certain learner group, e.g. German learners of English, is com-367 pared with the language of English native speakers in order to pinpoint possible 368 differences between the two groups. This comparison is then subsequently com-369 bined with a corresponding analysis of the interlanguage produced by a second 370 group of learners, e.g. French learners of English. For the present case studies, the 371 learner data are drawn from the German and French components of the LINDSEI 372 (Gilquin et al. 2010). For comparable native speaker data the Louvain Corpus of 373 Native English Conversations (LOCNEC) was used. The LOCNEC contains tran-374 scribed interviews with native speakers of British English (university students at 375 Lancaster university in the UK) aged between 18 and 30 years. The interviews 376 involved the same tasks, topics and stimuli that were used for the interviews in the 377 LINDSEI. Table 1 provides an overview of the corpora. 378

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The target structures were extracted semi-automatically⁹ using *WordSmith Tools* 5 379 (Scott 2008), followed by manual inspection and filtering of false positives. The 380 analysis of the data consisted in a quantitative analysis of frequencies of occurrence 381 and a qualitative study of lexical co-occurrence patterns (e.g. verbs, connectives, 382 pragmatic markers, intensifying adverbs) and discourse functions. 383

3.2 Emphatic Do

Emphatic *do* is a lexico-grammatical means of information highlighting that commonly 385 serves to emphasize the meaning of a following predicate (underlined in example 1). 386

(1) <A> So you want to become a teacher now. <\A>
 387
 388 I do want to become a teacher yeah I always thought I wanted to teach Benglish. But now I want to teach French. <\B> (LOCNEC)¹⁰
 388

Emphatic *do* is discussed only briefly in the standard reference grammars of English 390 (Quirk et al. 1985; Biber et al. 1999; Huddleston 2002) and there are only very few 391 corpus-based studies that have examined this feature in detail (Nevalainen and 392 Rissanen 1986; Luzón Marco 1998/99). Emphatic *do* usually carries nuclear stress 393 and is one of the few options to explicitly highlight its following predicate. Syntactic 394 options like predicate fronting or *wh*-clefting are available to highlight a verb 395 phrase, but are contextually much more restricted. 390

Table 2 shows that the frequential distribution of emphatic *do* varies across spo-397ken and written registers.398

Emphatic *do* is clearly most frequently used in spoken language. In addition, a 399 breakdown of the individual genre sections for the spoken register in the BNC 400 shows that it is particularly frequent in highly argumentative contexts such as (parliamentary) debates, meetings, lectures, interviews, and discussions, where its frequency 402 even rises to more than a thousand occurrences per million words. 403

There are two views as to whether emphatic *do* expresses both contrastive and 404 non-contrastive emphasis or whether it exclusively has a contrastive function. Quirk 405 et al. (1985) argue that it focuses on the operator [i.e. the predicate, MC] either for 406 contrastive or emotive emphasis. Huddleston (2002: 97f.) states that it expresses 407 emphatic polarity, emphasizing the positive or negative polarity of a clause. As an 408

⁹To retrieve instances of emphatic *do* I ran a search for the forms *do*, *does* and *did* followed by an infinitive, excluding instances of grammatically conditioned inversion after negatives as in *Not only did they..., Even slower did ...*, and elliptical sentence forms, e.g. *Yes we do* or *They never did so*. For demonstrative clefts the search involved all instances of *that* and *this* followed by a form of *be* ('*s*, *is*, *was*) and a *wh*-word (*what*, *when*, *why*, *where*, *how*).

¹⁰In the LOCNEC and the LINDSEI, turns marked with <A> indicate the interviewers' turns, while turns marked with mark the interviewees' turns. The transcription guidelines for the LINDSEI can be retrieved from the following webpage: http://www.uclouvain.be/en-307849. html. Unfortunately, some of the transcription conventions used for the LOCNEC have not been updated to follow those of the LINDSEI. For example, overlapping speech in the LOCNEC is still indicated by means of square brackets instead of the explicit tag <overlap />.

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Register corpus	Speaking	Fiction	News	Academic writing
Longman Spoken and Written English (LSWE) Corpus (Biber et al. 1999: 433)	400	300	150	150
Bank of English (Luzon-Marco 1998/99: 91)	~545	~218	~125	-
Corpus of Contemporary American English (COCA, Davies 2008)	576	212	172	169
British National Corpus (BYU-BNC, Davies 2004)	734	320	173	223

Table 2 Frequencies of occurrence of emphatic *do* across registers in four corpora (per million t2.2 words)

t2.12 Note that the frequency counts for these registers are not completely comparable across the four t2.13 corpora. The count for the spoken register on the basis of the LSWE corpus is given for "conversa-

t2.14 tion", and the count for fiction provided by Luzon-Marco on the basis of the Bank of English

t2.15 corpus is given for "books". The counts for the Bank of English corpus are approximations, thus

t2.16 marked by a tilde

emphatic positive it contrasts a positive with a corresponding negative proposition 409 that has been expressed or implicated in the preceding discourse. As an emphatic 410 positive it may also occur to indicate the strength of one's beliefs or feelings. 411 Lambrecht (1994) analyses emphatic do as a conventionalized, grammaticalized 412 way of expressing emphasis that involved a gradual loss of the presupposition in 413 three steps: (1) the construction originally required the presupposition that the truth 414 of a proposition was questioned in the immediately preceding discourse (fully con-415 trastive contradiction), (2) the presupposition weakened so that a contradiction was 416 merely suggested and left implicit (implicit contradiction), and finally, (3) the pre-417 supposition disappeared completely with do functioning as an intensifier like really 418 (non-contrastive emphasis). Nevalainen and Rissanen's (1986) analysis compared 419 358 instances of emphatic do in the London-Lund Corpus (spoken British English) 420 and the Lancaster-Oslo-Bergen Corpus (written British English). Their findings 421 lend support to the view that emphatic do can indeed express non-contrastive 422 emphasis. While 63 (18%) and 101 instances (28%) in the two corpora signaled 423 either explicit opposition or implicit contrast respectively, a majority of 194 424 instances (54 %) expressed neither opposition nor contrast. 425

Biber et al. (1999: 433) note that "emphatic do usually marks a state of affairs in 426 contrast to some other expected state of affairs which is by implication denied". 427 This contrast can then be explicitly marked by contrastive connectives such as but, 428 however, nevertheless or (al)though). Similarly, Luzón Marco (1998/99) argues that 429 contrastive and emotive emphasis are not two different functions of emphatic do. 430 She suggests that it always implies contrast, concession or correction with regard to 431 something that has been previously said or is supposed to be known, expected or 432 assumed. Moreover, it expresses simultaneously contrastive emphasis and involve-433 ment (i.e. carries an emotive effect). 434

Emphatic *do* is also characterized by distinct lexical co-occurrence patterns that partially reflect its discourse functions. Contrastive uses are often explicitly marked by contrastive connectives (*but, however, nevertheless, [al]though*) as in example (2)

20

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and can also occur in conditional sentences introduced by *(even) if.* Contrastive 438 and non-contrastive instances frequently co-occur with intensifying adverbs 439 *(really, certainly, indeed)* and pragmatic markers *(well, yes/yeah, actually, you* 440 *know, I mean*) as in (3). The types of predicates that are highlighted often include 441 cognition verbs (e.g. *think, know, believe*) and emotive verbs (e.g. *like, hope, feel,* 442 *need, want*). 443

- (2) er ... you know I I'm I'm not a real big fan of the cinema but I do think
 444
 it's a good night out and I'd much prefer to go to the cinema than to
 watch er a video <\B> (LOCNEC)
 446
- (3) <A> must be quite hard after you you've played something [to to to find yourself back <\A> 447
 - [oh ... it d= well yeah it it definitely does take a while to come 449 back down <\B>(LOCNEC) 450

In the present chapter, the manual qualitative analysis of the discourse functions of 451 emphatic do is based on its contextual use and distinguishes between three functions: 452 (1) an intensifying, non-contrastive use (e.g. to indicate the strength of one's beliefs or 453 feelings), and two types of contrastive uses, i.e. (2) explicit contrast/opposition (both 454 referents are explicitly mentioned and contrasted) and (3) implicit contrast (the con-455 trasted referent is not explicitly mentioned but contextually implied, i.e. presupposed, 456 expected or assumed). These three functions are illustrated in example (4). 457 h. .

(4) <a>	I mean you're independent here you can do whatever you want to and	458
	then [you go back home. <\A>	459
	[Yes mhm. <\B>	460
<a>	How do you feel about that. is it sometimes difficult I mean. you	461
	have to to I guess to tell your parents where you're going to if you leave	462
	and that kind of thing.<\A>	463
	Erm yeah it it is it is quite. difficult to I suppose it's something	464
	I've got used to a lot more I do I do like going home it has it has advan=	465
	some advantages over being here and being here <\B>	466
<a>	You don't have to cook <laughs> <\A></laughs>	467
	 	468
	but <\B>	469
<a>	Yeah I mean but <\A>	470
	Yeah not so much yeah [so <\B>	471
<a>	[not so much <\A>	472
	Er yeah I I like going home <x> I do get on with my parents</x>	473
	and they're not they're not very . strict but erm Yes I d= I do . feel yeah	474
	I do have to . tell them . where I'm going and <\B> (LOCNEC)	475

The first and the third instance can be classified as cases of implicit contrast. The 476 interviewer (A) does not explicitly deny that the interviewee (B) does not like going 477 home to his/her parents place or does not get on well with them, but this is implicitly 478 questioned ("How do you feel about that. is it sometimes difficult") and subsequently 479

22

t3.1 **Table 3** Frequencies of occurrence of emphatic *do* in the three corpora

t3.2	Corpus	Absolute frequency	Normalized frequency per thousand turns
t3.3	LINDSEI-F	8	1.45
t3.4	LINDSEI-G	22	3.64
t3.5	LOCNEC	99	11.74

clarified by B ("I do like going home", "I do get on with my parents"). The second 480 instance is a case of explicit contrast. A mistakenly presupposes that B does not have 481 to do any cooking when spending time with his/her parents ("You don't have to 482 cook") which B explicitly corrects ("Well I do have to do some cooking"). Finally, 483 the fourth instance exemplifies the intensifying, non-contrastive use. B responds to 484 A's earlier turn ("you have to to I guess to tell your parents where you're going to if 485 you leave and that kind of thing") and emphasizes the truth of this statement by 486 confirming it ("I do . feel yeah I do have to . tell them . where I'm going"). 487

They only previous corpus study of emphatic do in learner language (Callies 488 2009a), was based on a subset of the German component of the International 489 Corpus of Learner English (ICLE, Granger et al. 2009), a corpus of L2 learners' 490 argumentative writing. This study found a significant underrepresentation of 491 emphatic do when compared to similar NS writing, differences in contextual use 492 and lexical co-occurrence patterns and several apparently unmotivated uses. The 493 much higher frequency of occurrence in speaking and the strong intonational com-494 ponent of emphatic do makes it necessary to replicate this study on the basis of 495 spoken learner data. On account of the previous research findings and the fact that 496 French and German lack a clear one-to-one equivalent that expresses the functions 497 of emphatic do in English, emphatic do is hypothesized to be underrepresented in 498 both spoken learner corpora when compared to native speaker usage. In French and 499 German the functions of emphatic do are often fulfilled by modal particles like doch 500 or schon (in German) and si (in French) (König et al. 1990; Lambrecht 1994: 72), 501 both of which can be translated as 'but'. 502

The quantitative analysis of the frequency of occurrence of emphatic *do* in the three corpora (Table 3) confirms the hypothesis and shows that *do* as a marker of emphasis is significantly underrepresented in the two learner corpora when compared to the native speaker corpus (LOCNEC vs. LINDSEI-F: Log Likelihood (LL)= -57.4^{***} ; LOCNEC vs. LINDSEI-G: LL= -30.7^{***}). In particular, with only eight occurrences in total, it is largely absent in the LINDSEI-F.

509 When analyzing the use of emphatic *do* by individual learners (Figs. 1 and 2) it 510 is striking that it is only very few learners who use it. In particular, in the LINDSEI-G 511 there is a fairly uneven distribution with two learners (ge024 and ge034) producing 512 40 % of all instances (9 out of 22) whereas the majority of learners do not use 513 emphatic *do* at all.

The comparative analysis of the discourse functions of emphatic *do* does not reveal any major differences between the corpora: it is mostly used to express contrast by all three groups. Native speakers and German learners show a fairly balanced distribution of the three functions (see Fig. 3). More interesting, however, is the qualitative analysis of the most frequent collocates and verbs that co-occur with





Fig. 1 Distribution of emphatic do in the LINDSEI-F



Fig. 2 Distribution of emphatic do in the LINDSEI-G



Fig. 3 Discourse functions of emphatic do in the three corpora

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2 3	Corpus	Collocate	N	All verbs (tokens)	All verbs (types)	TTR	Most freq. verbs $(N > 3)$	N
.4	LINDSEI-F	but	4	8	6	0.75	_	_
.5	LINDSEI-G	but	6	22	16	0.72	have	5
.6		yes, yeah	4				like	3
.7	LOCNEC	but	24	99	48	0.48	have (to)	13
.8		yes, yeah	19				like	11
.9		I mean	8				look	8
.10		SO	8				get	5
.11		actually	5				think (about), work	4 each
.12		well	4				feel, go, know, miss	3 each
.13		if	4				X	

t4.1 **Table 4** Most frequent collocates and verbs occurring with emphatic *do* in the three corpora

emphatic *do*. It is striking that emphatic *do* is not only significantly underrepresented
in the two learner corpora, but also that the few instances that can be found do not
occur in their typical lexical co-occurrence patterns (contrastive connectives, intensifying adverbs, pragmatic markers, cognition verbs and emotive verbs, see Table 4).

How can the differences between native speakers and learners, and the differ-523 ences between the two learner groups be explained? Considering recent findings 524 that even advanced L2 learners have only a limited awareness of the appropriate use 525 of lexical and syntactic focusing devices in formal and informal registers (Callies 526 2009a), the results are not surprising. Moreover, linguistic structures that are 527 optional and subject to discourse-motivated preferences are assumed to be among 528 the most difficult to acquire in advanced SLA (DeKeyser 2005). One explanation to 529 account for the differences between the German and the French EFL learners could 530 be that the German learners are benefitting from positive L1-transfer. In Standard 531 German, the insertion of the semantically empty verb tun ('do') is obligatory in 532 contexts where a lexical verb is topicalized and no other verb (auxiliary or modal) 533 is present (Duden 1997: 726), see example (5a). 534

- 535 (5a) Tanzen **tut** Katja immer noch häufig. 536 Dance does Katja always still often.
- 537 'Katja does still dance often.'

Do-insertion is also frequently used in colloquial German and some German dialects
to mark progressive aspect, see example (5b).

540 (5b) Sie tut gerade schreiben.
541 She does just now write
542 'She is writing just now.'

543 While another reason for why the Germans differ from the French learners may 544 simply be differences in their general level of proficiency (see Sect. 3.3 for more 545 explanation), further evidence for the influence of the learners' native language, 546 possibly even in terms of a typological parameter, is suggested by the results of

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preliminary analyses of other LINDSEI subcorpora: learners whose L1 is a 547 (Germanic) language that has *do*-support seem to use emphatic *do* more often than 548 learners from other L1 backgrounds (Callies in preparation). 549

The significantly lower frequency counts in the learner data may, however, also be 550 an effect of the task and/or the interlocutor. It is a well-known fact that interlanguage 551 variation is influenced by a number of external sociolinguistic factors that have to do 552 with the situational context of language use, e.g. task, topic and interlocutor (see e.g. 553 Ellis 2008: 141ff.). It is thus possible that L2 learners may be less inclined to dis-554 agree or object (hence experience much less need to make use of the linguistic means 555 that convey contrastive emphasis) when they are interviewed by a native speaker 556 who is of the opposite sex and not familiar to them rather than when interviewed by 557 a same-sex non-native speaker who they know. Although variables such as the inter-558 viewer's mother tongue, gender and distance/closeness to the interviewee have been 559 recorded in the LINDSEI, their influence cannot (yet) be assessed on a broad basis 560 because of the small corpus size: strict control of all the relevant variables results in 561 a very small database of sometimes only a handful of interviews. 562

3.3 Demonstrative Clefts

Cleft sentences are information packaging constructions that involve the splitting of 564 a sentence into two clauses. They are pragmatically motivated and differ from their 565 basic counterparts in that they serve to highlight a certain phrase or clause, the cleft 566 constituent. The most common types are *it*-clefts and *wh*-clefts (also known as 567 pseudo-clefts). There are also other types of cleft constructions one of which is the 568 reverse wh-cleft, in which the order of wh- and cleft-clause is inverted. The vast 569 majority of reverse wh-clefts feature the non-contrastive, non-focal deictic demon-570 stratives *that* or *this* as the cleft constituent, see examples (6) and (7),¹¹ and therefore 571 this type is also referred to as demonstrative cleft in the literature (Biber et al. 1999: 572 961; Calude 2008, 2009). 573

- (6) <A> so you you did English and ling= and linguistics to: <\A>
 574
 I did English and linguistics just because that was what I was interested in the the interest in going into film industry has only developed since I've been at university <\B> (LOCNEC)
- (7) <A> so you had to cope with those kids <\A>
 I had to cope with those kids completely on my own with no back-up she said you know she w= she thought it was great having someone to help she said right you're gonna take half the kids ...the worst half and you're going to teach them the same lesson as I'm teaching them here's the book this is what I want you to teach them go off and do it for a year <\B> (LOCNEC)

25

¹¹Demonstrative clefts are given in bold print.



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When compared to other types of cleft constructions, demonstrative clefts only 584 rarely occur in written language but are clearly the most frequent variant in the spo-585 ken mode (Collins 1991: 178ff.; Oberlander and Delin 1996: 186; Weinert and 586 Miller 1996: 176), occurring especially often in spontaneous spoken language, i.e. 587 conversation (Biber et al. 1999: 961; Calude 2008: 86). Of the two demonstratives, 588 that is much more frequent than this (Oberlander and Delin 1996: 189; Weinert and 589 Miller 1996: 188; Biber et al. 1999: 962; Calude 2008: 79). Therefore, the majority 590 of demonstrative clefts convey anaphoric deixis as in example (8),¹² but they can 591 also express cataphoric deixis as in (9), function anaphorically and cataphorically 592 simultaneously as in (10), or carry exophoric deixis, i.e. non-textual, extra-linguistic 593 reference either in the form of shared world knowledge or physical/visual presence 594 at the time of utterance, see example (11) (Calude 2008: 87ff.). 595

- 596 (8) $\langle A \rangle$ so what are you doing now as a major is it linguistics or is it $\langle A \rangle$ 597 $\langle B \rangle \langle X \rangle \dots$ I I thought I'd been accepted for Chinese and linguistics com-
- 597
 <X> ... I I thought I d been accepted for Chinese and linguistics com

 598
 bined <\B>
- 599 <A> [mm <\A>
- [and that's what they told me when I first . came here but now they
 seem to think it's only linguistics <\B> (LOCNEC)
- (9) that we're living I mean I had my had my own flat and it's very difficult
 to: go from having your own flat and [<X> privacy to <\B>
- A> [and share a kitchen A>
- 605 living in somewhere much smaller <\B>
- 606 <A> mhm <\A>
- 607 but erm <\B>
- 608 <A> but I mean Graduate College is quite okay <\A>
- yeah I know that's why I decided to pay a bit more cos I thought
 sharing a kitchen and a bathroom with ten people <\B>
- 611 <A> yeah <\A>
- $612 \qquad [<u>I just couldn't <</u>$
- $(A> [especially the bathroom <\A>)$
- $(B> yeah no II really couldn't have faced that <\B> (LOCNEC)$
- (10) <A> and you don't live there and you you've never seen something like that
 before ... but you you live in Sheffield <\A>
- 617 yeah <\B>
- (A>) it's quite a big city isn't it (A>)
- it is quite big yeah that's why I came here cos I wanted to come
 to somewhere smaller <\B> (LOCNEC)
- 621 (11) and she doesn't . it's not really a glamorous picture <\B>
- $622 \qquad <A> mhm <A>$
- 623 or anything like that ... erm the third one it looks like he's painted
 624 it again ... erm ... new hairstyle ... smiling sat up ... it makes her look
 625 more beautiful than she is <\B>

¹²The discourse segment(s) that the demonstrative *that* refers to are underlined.

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That + 's +
$$\begin{cases} what \\ why \\ where \end{cases}$$
 + $\begin{cases} pers. pro. \\ name \end{cases}$ + $\begin{cases} vb. of cognition \\ vb. of communication \\ vb. of movement \end{cases}$

Fig. 4 The formulaic nature of demonstrative clefts (Reproduced from Calude 2009: 69)

- <A> mhm <A> 626 <laughs> and in the fourth one she's telling all her friends of 627
- that's me **that's how I look** ... things like that <\B> (LOCNEC) 628

In view of their relatively fixed structure, Calude (2009) argues that demonstra-629 tive clefts show characteristics of formulaic expressions, allowing only a narrow 630 range of elements to occur in its structural "slots" (see Fig. 4). Prototypically, the 631 demonstrative that occurs as the initial element. The copula be only occurs in sim-632 ple present and simple past tense and is most commonly used in its contracted 633 form's. The copula is then most frequently followed by what, less frequently by 634 why, where, when and how as wh-words in the cleft clause (Collins 1991: 28; 635 Oberlander and Delin 1996: 187; Weinert and Miller 1996: 188). Moreover, demon-636 strative clefts have a distinct function in discourse as organizational and discourse-637 managing markers, and are typical of a specific register, i.e. conversation.¹³ 638

Demonstrative clefts have multiple functions as to discourse organization and 639 management. In particular, what sets them apart from other cleft types is their point-640 ing function by means of the initial demonstrative pronoun (Weinert and Miller 641 1996: 188; Oberlander and Delin 1996: 189). They typically have extended text 642 reference that spans over three or more turns prior to the cleft (Calude 2008: 79f.). 643 With *that* as the initial element, demonstrative clefts have a strong anaphoric and 644 attention-marking function (Weinert and Miller 1996: 192f.) and are typically used 645 to underline or sum up previous discourse or to make reference to what has been 646 said before (Collins 1991: 145f.; Weinert and Miller 1996: 192f.; Biber et al. 1999: 647 961ff.), while those introduced by this have a forward-pointing function and are also 648 used as an attention marker (Weinert 1995). 649

Calude (2008: 99ff.; 108) suggests four discourse functions of demonstrative 650 clefts. For the qualitative analysis of the discourse functions in the present case 651 study, her taxonomy was adopted with slight modifications and two more functions 652 (summarizing and projecting) were added. The six functions are exemplified in turn 653 in (12)–(17). 654

- (12) **quoting**: signaling direct speech, indirect speech or self-reported thought
 - erm and I I wanted to come to university and do literature <XXX> 656 interested<?> in that ... and it was only really when I was looking through the prospectus sort of thinking well I don't just want to do literature what can I put [with it <\B> 659

¹³One may add here that another feature that adds to their formulaicity is that in contrast to other types of clefts, demonstrative clefts are not reversible (Biber et al. 1999: 961).

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660 661 662	<a> [mhm mhm <\A> I sort of discovered the linguistics department and thought ah yeah that's what I've always wanted to do <\B> (LOCNEC)
663 664 665 666	 (13) explaining: giving a reason for a point previously made; explaining how two prior utterances relate to each other (linking function) yeah I think geography is interesting that's why I study it <a href="mailto:
667 668 669 670 671 672 673 674 675 676 677	 (14) evaluating: giving opinions, evaluations or assessments; expressing agreement, disagreement or a neutral opinion with a previous comment yeah it wasn't much of a holiday really <\B> <a> oh no <laughs> <\A></laughs> <laughs> <\B></laughs> <a> it was just a a working holiday <x> <\A></x> a working holiday yeah <\B> <a> just work <\A> well that's that's <x> that's exactly what what our bosses were saying exactly the same phrase said er you're here for no holiday you work you're here to work <\B> (LOCNEC)</x>
678 679 680 681 682 683 684	 (15) highlighting: singling out a preceding discourse element, thereby foregrounding it and giving it special prominence <a> since you like the cinema so much <\A> [mhm <\B> <a> [would you like to: to do: later to work . in relation . to <\A> <x> what I'd like to do well I mean my degree is a primary school teaching degree that's what I'm aiming to do at the[i:] end <\B> (LOCNEC)</x>
685 686 687 688 689 690 691 692	 (16) summarizing: summing up a longer stretch of previous discourse he's changed the picture so that she's erm she looks considerably younger erm obviously the hair's changed the face has changed <\B> <a> [mhm <\A> [she's she's got a slight smile erm and then now she's sort of erm just telling all her all of her friends sort of oh this is a picture of me isn't it lovely and doesn't it look so much like me but er \B> <a> <laughs> <\A></laughs>
693 694	 that's that's how I would say the story is going she's er she's she's eh this woman is actually quite vain <\B> (LOCNEC)

¹⁴This function is in line with Weinert's (1995) analysis of demonstrative clefts introduced by *this* as forward-pointing and attention marking devices. It is usually demonstrative clefts that have cataphoric deixis that can be said to have a projecting function. In general, the development of cleft constructions in spoken English is strongly related to their discourse-pragmatic functions (see e.g. Callies 2012a for a study of the pragmaticalization of *wh*-clefts). For example, *wh*-clefts have been analysed as projector constructions that foreshadow upcoming discourse (e.g. Hopper and Thompson 2008) in which the *wh*-clause opens a projection span that draws the recipient's attention to the following highlighted constituent.

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Corpus	Absolute frequency	Normalized frequency per thousand turns	t5.2
LINDSEI-F	27	4.72	t5.3
LINDSEI-G	57	9.42	t5.4
LOCNEC	73	8.65	t5.

Table 5 Frequencies of occurrence of demonstrative clefts in the three corpora

Previous corpus-based studies of reversed wh-clefts in learner language are based on 702 subsets of the ICLE. While Herriman and Boström Aronsson (2009) found an over-703 representation of reversed wh-clefts in the writing of Swedish EFL learners when 704 compared to native speaker writing (93 vs. 62 instances), Callies (2009a) noted that 705 native speakers used demonstrative clefts slightly more often when compared to the 706 writing of German EFL learners (27 vs. 19 instances, but not statistically significant 707 difference). Moreover, Callies observed that the learners showed little variation in 708 how they used this construction: what was by far the most commonly used wh-word 709 in reversed wh-clefts by both groups of writers, but the native speakers employed a 710 broader range of wh-elements, while how, where, and when were completely absent 711 from the learner data. They also strongly preferred *that* as a deictic marker and used 712 the copula almost exclusively in its contracted form's, which may indicate that the 713 learners saw this as a formulaic expression. Non-deictic elements in reversed wh-714 clefts (e.g. Music *is what I like most*) were exclusively used by native speakers. 715

In view of these previous research findings and a contrastive analysis of such cleft types in French, German and English (see further below), the following two working hypotheses can be put forward for the case study: (1) demonstrative clefts are underrepresented in both learner corpora when compared to native speaker usage, and (2) advanced learner language is characterized by a narrower range of the formal and functional uses of this construction. 720

In fact, the quantitative analysis of the frequency of occurrence of demonstrative 722 clefts in the three corpora (Table 5) shows that demonstrative clefts are significantly 723 underrepresented in the LINDSEI-F when compared to the LOCNEC ($LL = -7.7^{**}$), 724 but that there is no statistically significant difference between the LINDSEI-G and 725 the LOCNEC (LL= +0.23). Similar to emphatic do, the distribution of demonstra-726 tive clefts in the two learner corpora shows a high degree of inter-learner variability. 727 In both corpora, it is merely a handful of learners who provide for almost 50 % of 728 all tokens whereas half (or more) of the learners do not use this construction at all 729 (see Figs. 5 and 6). 730

It is interesting to compare the two learner groups and the native speakers as to 731 the relatively fixed structure of demonstrative clefts. Similar to the findings reported 732 in the research literature, the deictic *that* and the *wh*-words *what* and *why* are the 733

t5.1



Fig. 6 Distribution of demonstrative clefts in the LINDSEI-G

most frequently occurring elements (Table 6). Demonstrative clefts primarily convey 734 anaphoric deixis in all three corpora. While it is not surprising that the native speakers 735 employ the full range of options that this construction allows in terms of the use of 736 initial demonstratives, wh-words and deictic reference, it is indeed striking to see 737 major differences between the two learner groups. The way how the German learners 738 use this construction very much resembles native speaker usage in terms of struc-739 tural variation. By contrast, demonstrative clefts are not only significantly under-740 represented in the spoken language of French learners, but the degree of formulaicity 741 (or invariability) is also highest in the LINDSEI-F. 742

A similar picture emerges when analyzing the discourse functions: the native
speakers and the German learners use all six functions, but only four different ones
occur in the LINDSEI-F (Fig. 7).

In this case, it is unlikely that the observed differences between native speakers and learners as well as the differences between the two learner groups are due to 50%

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	LINDSEI-F	LINDSEI-G	LOCNEC
demonstrative			
that	26 (96 %)	44 (77 %)	67 (92 %)
this	1 (4 %)	13 (23 %)	6 (8 %)
wh-word			
what	12 (44 %)	27 (47 %)	30 (41 %)
why	14 (52 %)	17 (30 %)	15 (21 %)
where	0	1 (2 %)	11 (15 %)
when	0	4 (7 %)	6 (8 %)
how	1 (4 %)	8 (14 %)	11 (15 %)
deixis			C .
anaphoric	26 (96 %)	42 (74 %)	57 (78)
cataphoric	0	5 (9 %)	4 (5 %)
both	1 (4 %)	4 (7 %)	6 (8 %)
exophoric	0	6 (11 %)	6 (8 %)
		5	
	47%		
	44.70		
37%	6		
		LOCNEC	
		LINDSEI-G	
		LINDSEI-F	
	25%		

 Table 6
 Use of demonstratives, wh-words and deictic reference in the three corpora
 t6.1



Fig. 7 Functions of demonstrative clefts in the three corpora

cross-linguistic influence, at least as far as the German learners are concerned. 748 Although German does have cleft constructions, they are dispreferred options to 749 convey focus and have only peripheral status because of the less restricted use of 750 topicalization (see e.g. Weinert 1995 and Callies 2009a for discussion). Weinert 751 (1995) compared wh- and reversed wh-clefts in English and German, contrasting 752 their discourse functions with those of preposing/topicalization based on corpora of 753 structured dialogue and conversation. Her findings showed that in contrast to speakers 754 of English, Germans used only very few reversed wh-clefts because reversed clefts 755 are extremely rare in German, structurally and functionally more restricted, and 756 often combine with focus or modal particles to supplement their focus, and thus
create an even stronger focus than their English counterparts (Weinert 1995: 355).
Moreover, topicalization in German is less restricted and not as strongly associated
with contrastiveness as preposing in English. On account of this, demonstrative
clefts should be expected to be underrepresented in LINDSEI-G, but this is clearly
not the case.

Transfer in the form of underproduction may be an explanatory factor in the case of the French learners. French does have two types of clefts, the *c'est*-cleft, which often carries a contrastive and even exclusive value, and the *il y a*-cleft, which has presentational character, but in contrast to German and English, French does not have reversed *wh*-clefts because it does not allow pre-verbal focus (Lambrecht 2001: 492; Miller 2006: 185). The absence of this cleft type in the L1 may thus at least partially explain the observed underrepresentation.

It seems more likely that differences in general language proficiency may help 770 explain the differences between the two learner groups. The assessment of language 771 proficiency is a notoriously difficult (and also frequently neglected and underesti-772 mated) challenge in SLA and Learner Corpus Research (LCR).¹⁵ In LCR, learners' 773 proficiency level has been a fuzzy variable in that it has often been assessed globally 774 by means of external criteria, most typically learner-centered criteria (e.g. Carlsen 775 2012). There are several problems connected with this practice (Thomas 1994, 776 2006). As a consequence, in some corpora learners' proficiency level varies consid-777 erably, both across and within subcorpora. This is also true for the LINDSEI, in the 778 compilation of which proficiency was assessed globally on account of institutional 779 status with learners being described as "university undergraduates in English (usu-780 ally in their third or fourth year)" (Gilguin et al. 2010: 10). The proficiency level of 781 learners who are represented in the LINDSEI in fact ranges from higher intermedi-782 ate to advanced. While some LINDSEI subcorpora predominantly seem to include 783 learners from either the C1 or C2 proficiency levels of the Common European 784 Framework of Reference for Languages, e.g. Dutch, Swedish or German learners, 785 others rather seem to include learners from higher intermediate (or lower) profi-786 ciency levels, e.g. those whose L1 is Italian, Spanish or French (Gilquin et al. 2010: 787 10f.). The LINDSEI handbook also provides information about two variables that 788 have often been used to help operationalize proficiency: the amount of formal class-789 room instruction in the foreign language and time spent in a country where the tar-790 get language is spoken. Comparing these two variables, it turns out that the number 791 of years spent learning English in school and university is 4.6 and 3.8 on average in 792 LINDSEI-F, while the German learners spent 8.6 and 3.6 years learning English. 793 Thus, the Germans spent significantly more time learning English in school (they 794 are also on average 2 years older than the French: 24.6 vs. 22.1 years). More impor-795 tant, though, is the difference in the time spent abroad: on average, speakers in 796 LINDSEI-F spent only 1.9 months in an English-speaking country, while those in 797 LINDSEI-G spent 9.3 months abroad (Gilquin et al. 2010: 40f.). 798

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Editor's Proof

¹⁵It is not possible to go into detail here, but see Callies, Zaytseva & Present-Thomas (to appear) for further discussion as to the operationalization and assessment of (advanced) proficiency in LCR.

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4 Conclusion

This chapter has provided a critical assessment of research on pragmatics in the 800 context of SLA showing that in mainstream ILP, the significance of L2 pragmatic 801 knowledge beyond the domain of speech acts has been neglected to date. I have 802 argued that the field of inquiry in ILP needs to be extended because pragmatic 803 knowledge in an L2 includes more than sociopragmatic and pragmalinguistic abili-804 ties for understanding and performing speech acts. I have proposed a wider defini-805 tion of L2 pragmatic knowledge and have highlighted the crucial role of learner 806 corpora in the expansion of the narrow research agenda of ILP. Two case studies of 807 EFL learners' use of emphatic do and demonstrative clefts have exemplified how 808 spoken learner corpora enable researchers to study a much broader range of differ-809 ent pragmatic phenomena and can help overcome several problems and limitations 810 posed by the dominance of data elicitation techniques in ILP to date. 811

The case studies have demonstrated the usefulness of corpora to abstract away 812 from individual learners to identify a corpus-based description of a specific learner 813 group while also providing insights into inter-learner variability. The individual dif-814 ferences found for both the French and the German EFL learners have important 815 implications for learner corpus analysis and compilation in that they confirm that 816 global proficiency measures based on external criteria alone are not reliable indica-817 tors of proficiency. However, in a substantial part of LCR to date individual differ-818 ences often go unnoticed or tend to be disregarded and are thus not reported in 819 favour of (possibly skewed) average frequency counts. Mukherjee (2009) is one 820 study where the issue of inter-learner variability is explicitly addressed. Observing 821 an extremely uneven distribution of the pragmatic marker you know in the 822 LINDSEI-G, Mukherjee concludes that "the fiction of homogeneity that is often 823 associated with the compilation of a learner corpus according to well-defined stan-824 dards and design criteria may run counter to the wide range of differing individual 825 levels of competence in the corpus" (2009: 216). 826

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